

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER MAT-8864US U.S. APPLICATION NO. (If known, see 37 CFR 1.5) To Be Assigned 10/2864987
INTERNATIONAL APPLICATION NO. PCT/JP2005/008382	INTERNATIONAL FILING DATE 26 April 2005 (26.04.2005)	PRIORITY DATE CLAIMED 28 April 2004 (28.04.2004)
TITLE OF INVENTION ELECTRO-ACOUSTIC TRANSDUCER AND ELECTRONIC APPARATUS USING IT		
APPLICANT(S) FOR DO/EO/US Kazuki HONDA, Koji SANO, Tomoyasu TAKASE, Takanori FUKUYAMA, Mitsutaka ENOMOTO, Masahide SUMIYAMA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<div style="margin-top: 10px;">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</div> <div style="margin-top: 10px;">2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</div> <div style="margin-top: 10px;">3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</div> <div style="margin-top: 10px;">4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</div> <div style="margin-top: 10px;">5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<div style="margin-left: 20px; margin-top: 5px;">a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</div><div style="margin-left: 20px; margin-top: 5px;">b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</div><div style="margin-left: 20px; margin-top: 5px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div> <div style="margin-top: 10px;">6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<div style="margin-left: 20px; margin-top: 5px;">a. <input checked="" type="checkbox"/> is attached hereto.</div><div style="margin-left: 20px; margin-top: 5px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</div></div> <div style="margin-top: 10px;">7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<div style="margin-left: 20px; margin-top: 5px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</div><div style="margin-left: 20px; margin-top: 5px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</div><div style="margin-left: 20px; margin-top: 5px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</div><div style="margin-left: 20px; margin-top: 5px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</div></div> <div style="margin-top: 10px;">8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div> <div style="margin-top: 10px;">9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</div> <div style="margin-top: 10px;">10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</div> <div style="margin-top: 10px;">Items 11 to 20 below concern document(s) or information included:</div> <div style="margin-top: 10px;">11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</div> <div style="margin-top: 10px;">12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</div> <div style="margin-top: 10px;">13. <input checked="" type="checkbox"/> A preliminary amendment.</div> <div style="margin-top: 10px;">14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</div> <div style="margin-top: 10px;">15. <input checked="" type="checkbox"/> A substitute specification.</div> <div style="margin-top: 10px;">16. <input type="checkbox"/> A power of attorney and/or change of address letter.</div> <div style="margin-top: 10px;">17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</div> <div style="margin-top: 10px;">18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</div> <div style="margin-top: 10px;">19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</div> <div style="margin-top: 10px;">20. <input checked="" type="checkbox"/> Other items or information: Certificate of Express Mail; PTO-2038; Marked-Up Specification; Return Receipt Postcard</div>		

AP20050011630 JUN 30 2006

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/588967 To Be Assigned	INTERNATIONAL APPLICATION NO. PCT/JP2005/008382	ATTORNEY'S DOCKET NUMBER MAT-8864US
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The following fees have been submitted 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 All other situations.....\$200 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the US by the IB \$400 All other situations.....\$500 TOTAL OF 21, 22 and 23 =	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CALCULATIONS</th> <th style="text-align: left;">PTO USE ONLY</th> </tr> <tr> <td>\$ 300</td> <td></td> </tr> <tr> <td>\$ 200</td> <td></td> </tr> <tr> <td>\$ 400</td> <td></td> </tr> <tr> <td>\$ 900</td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$ 300		\$ 200		\$ 400		\$ 900	
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\$ 300											
\$ 200											
\$ 400											
\$ 900											

<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table style="width:100%;"> <tr> <td style="width:20%;">Total Sheets</td> <td style="width:20%;">Extra Sheets</td> <td style="width:20%;">Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td style="width:20%;">RATE</td> </tr> <tr> <td>20</td> <td>- 100 =</td> <td>/50 =</td> <td>x \$250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	20	- 100 =	/50 =	x \$250	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;"></td> <td style="width:50%; text-align: right;">\$</td> </tr> </table>		\$
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE								
20	- 100 =	/50 =	x \$250								
	\$										

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	7 - 20 =		x \$ 50	\$	
Independent claims	2 - 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 900	

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.	
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SUBTOTAL =	\$ 900
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Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).	\$
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TOTAL NATIONAL FEE =	\$ 900
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	\$
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TOTAL FEES ENCLOSED =	\$ 900
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	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Amount to be refunded:</td> <td style="width:50%; text-align: right;">\$</td> </tr> <tr> <td>Amount to be charged:</td> <td style="text-align: right;">\$</td> </tr> </table>	Amount to be refunded:	\$	Amount to be charged:	\$
Amount to be refunded:	\$				
Amount to be charged:	\$				

a.	<input type="checkbox"/>	A check in the amount of \$ _____ to cover the above fees is enclosed.
b.	<input type="checkbox"/>	Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c.	<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed.
d.	<input checked="" type="checkbox"/>	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

☒ Customer Number 52473
 OR
☒ Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482
☐ RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899

Date : June 30, 2006

SIGNATURE
 Lawrence E. Ashery
 NAME
 34,515
 REGISTRATION NUMBER

AP 20 Rec'd PCT/PTO 30 JUN 2006

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Kazuki Honda, et al.

Docket No.

To Be Assigned

10/584967
Serial No.
To Be Assigned

Filing Date

Herewith

Examiner

To Be Assigned

Group Art Unit

To Be Assigned

Invention: ELECTRO-ACOUSTIC TRANSDUCER AND ELECTRONIC APPARATUS USING IT

I hereby certify that the following correspondence:

PTO Form 1390 Transmittal with Indicated Enclosures

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 30, 2006.

Dennis McDermott

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EV 614 759 075 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.